

Contact Information

Name of Firm: _____ Contact: _____
 Address: _____ Title: _____
 City, State, Zip: _____ Email: _____
 Telephone: _____ Fax: _____ Cell: _____

Description of business (if not coal mining): _____

Company Information

Fiscal Year End: _____ Year Started: _____ State of Incorporation: _____ Tax ID: _____
 Organization Type: Corporation Sub-S Partnership Sole Proprietorship Limited Liability Corporation

Full Name	Social Security Number	Date of Birth	Position	% Owned	Spouse's Name

Name of predecessor company(s): _____

Owner's Buy/Sell Agreement: Yes No Funded by life insurance: Yes No
 Corporate Indemnity: Yes No Cross Corporate Indemnity: Yes No

Has the company or any of its stockholders ever filed for bankruptcy, failed in business, or defaulted on a bond or caused a loss to a Surety Company? Yes No If yes, Please explain:

Is the company or any of its stockholders currently, or expected to be involved, in litigation? Yes No
 If yes, please explain:

Are there currently any tax liens against you or your company? Yes No If yes, please explain:

(attach additional sheets as necessary)

Financial Information

Name and address of Banking Institution(s) used by your Company and/or Stockholders (personally):

Bank Contact & Telephone: _____

Name and Address of Equipment Dealer: _____

Equipment Dealer Contact Telephone: _____

Name and Address of CPA Firm: _____

CPA Firm Contact & Telephone: _____

What type of annual Financial Statements are prepared by the CPA for your company? Audit Review Compilation

Mining Operations - Skip if not applicable

List the states in which you are currently operating? _____

What methods are you currently using to mine coal? Underground Surface/Contour

How many tons did you produce last year? _____ (Year ended: _____)

How many tons do you project to produce this year? _____ (Year ended: _____)

What is average quality of the coal produced? BTU _____ Sulfur % _____

How much of your production is currently under contract? _____

Please provide details related to current and/or pending coal contracts.

Average price recieved for coal sold on the spot market _____ (Date of last sale _____)

How many tons of economically recoverable coal reserves do you currently control? _____

Owned _____ % Leased _____ %

Has your company been issued a CO or are there any violations that have not been abated? Yes No

If yes, please explain _____

Please attach schedule of all existing reclamation bonds, cash bonds, ILOC's etc.

What are your anticipated bonding requirements? (Please provide details by mine.)

Operations

The foregoing information and attachments are true and accurate to the best of my knowledge. The company will provide timely updates to Smith Manus of any material changes to this information.
The Undersigned individual as applicant for surety credit or as an indemnitor for a surety transaction hereby acknowledges that individual and/or business credit history may be a necessary requirement in the underwriting and evaluation of surety bonds. Therefore, the undersigned does hereby consent to and authorize Smith Manus and their agents to obtain at any time, as they deem necessary, to order his or her personal consumer credit report. This authorization shall remain in full force an effect until cancelled in writing by sending a request to the following address requesting that this agreement be terminated with a written acknowledgment from Smith Manus stating that the request was received.

Signature

Date

Printed Name & Title